

# DIPLOMA WALLAH

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## OPERATING SYSTEM AND ADMINISTRATION

 Complete Notes Based on Full Syllabus

- Diploma Engineering  
4<sup>th</sup> Semester



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Notes prepared by Sangam

Unit-04

Process management

Process

- A process is basically a program in execution.
- It is not just the program code (which is called a program or executable), but also the current activity of that program running on the computer.

It includes:

- The program instructions being executed.
- The current values of program counters and registers.
- The variables and data used by the program.
- The resource (like files, memory, CPU time) it is using.

Key process

- When we start a program (like opening a browser), the OS creates a process for that program.
- Each process has a unique Process ID (PID)
- A process can be in different states like:-
  - New: just created
  - Ready: waiting to be assigned CPU
  - Running: currently executing instructions on CPU
  - Waiting (Blocked): waiting for some event like input/output
  - Terminated: finished execution.

## \* Daemon

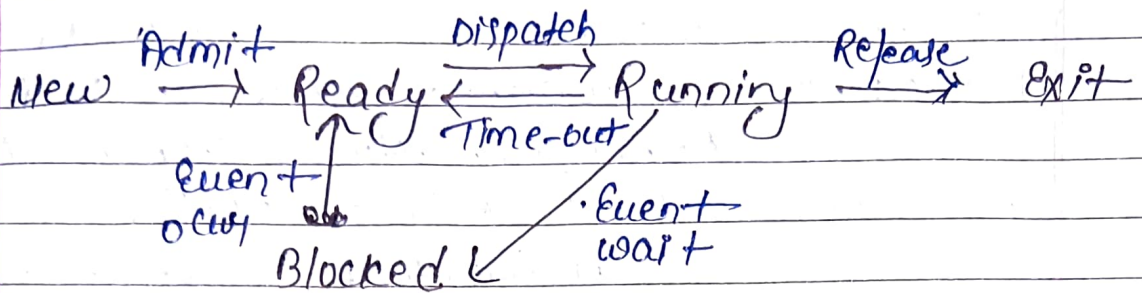
- ①
- \* A daemon is a special type of background process that runs without direct user interaction.
  - \* It usually starts when the system boots up and keeps running silently in the background, waiting to perform specific tasks or provide services.
  - \* Daemons are common in Unix/Linux systems, but similar background services exist in other OSes too.

Ex

- \* Sshd → manages secure remote login (SSH)
- \* httpd or apache - runs a web server
- \* Cron → schedules and runs periodic jobs.
- \* Syslogd → manages system logging.

## \* Process States

- A process moves through different states during its lifecycle.
- These states describe what the process is currently doing or waiting for.
- The operating system uses these states to manage process execution efficiently.



- New  $\rightarrow$  Ready: When a process is created it goes to the ready queue to wait for CPU.
- Ready  $\rightarrow$  Running: When CPU Scheduler picks the process to run.
- Running  $\rightarrow$  waiting: If process needs to wait for I/O or some events.
- waiting  $\rightarrow$  Ready: When the waiting event is complete, process moves back to ready.
- Running  $\rightarrow$  Terminated: When process finishes or is killed.

### \* PCB (Process Control Block)

- A process control block is a data structure used by the OS to store all the info. about a process.
- It acts like a process's identity card for the OS, keeping track of everything the OS needs to manage the process.

## PCB Contains

- PID → unique identifier for the process.
- process state → current state (New, Ready, Running, waiting, Terminated).
- program counter → Address of the next instruction to execute.
- CPU Registers - Contents of CPU registers during process switch.
- memory management info - Information about the process memory (eg, base and limit registers, page tables).
- Scheduling info - priority, scheduling queue pointers.
- Accounting info - CPU usage, time limits, process execution stats.
- I/O status info - list of I/O devices allocated to the process.

## \* process scheduling Queue

- The OS maintains queue to keep track of processes based on their current states (like Ready, waiting).
- The most common queue is the Ready Queue, which holds processes waiting to get CPU time.

### Queue Types

- Ready Queue: - process waiting for CPU scheduling.
- waiting (Blocked) Queue: - process waiting for some event (like I/O).

job Queue:- All processes in the system.

### \* Operation On Queues

When process become ready.

- Enqueue:- Add a process to a queue.
- Dequeue:- Remove a process from a queue (When process start running)

### \* Operation on process creation

When a new process is created, the OS perform these steps:

- Assign a unique PID.
- Allocate memory and resources needed by the process.
- Initialize the PCB (process control block).
- Set the process state to New, then to Ready.
- Add the process to the Ready Queue.
- Update Scheduling data structure so the scheduler can open it.

process creation happens via system calls like `fork()` in unix/linux.

### \* Operation

Main process (our parent process)

`fork()`

parent process

child process



## \* Operation on process Termination when a process Terminates:

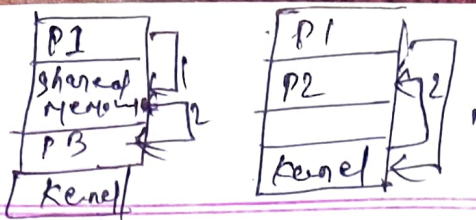
- The process state is changed to Terminated (or exit).
- Release resources held by the process (memory, files, I/O devices).
- Remove the process from scheduling queue.
- Notify parent process if necessary (eg. send exit status).
- Delete PCB and clean up data structures.

## \* Inter-process Communication (IPC)

- IPC allows processes to exchange data and synchronize with each other.
- Needed when process work together or share data.

Common IPC methods

- pipes :- unidirectional communication channel b/w related processes.
- message queues :- process send and receive messages asynchronously.
- Share memory - processes share a memory segment for fast data exchange.
- Semaphores - used to control access.
- Sockets - communicate over network b/w processes of different system.



## \* Scheduling Types

1. Long-term: - (Job Scheduling)

- Control the admission of processes into the system.
- Decides which jobs/processes are admitted from the pool of new jobs into the ready queue.
- Runs less frequently compared to other schedulers.
- Controls the degree of multiprogramming (how many processes are in memory at once.)
- Example:- In batch systems, it decides which batch job to load next.

2. Short-Term Scheduling (CPU Scheduling)

- Decides which process in the ready queue will get the CPU next.
- Runs very frequently.
- Ex - Choosing b/w two ready processes to run on CPU.

3. Medium Term Scheduling (Swapping Scheduler)

- manages processes that are temporarily swapped out from memory at disk (swapped out) and brought back (swapped in).
- Helps improve CPU utilization and manages process memory usage.
- mainly used in system that support swapping.

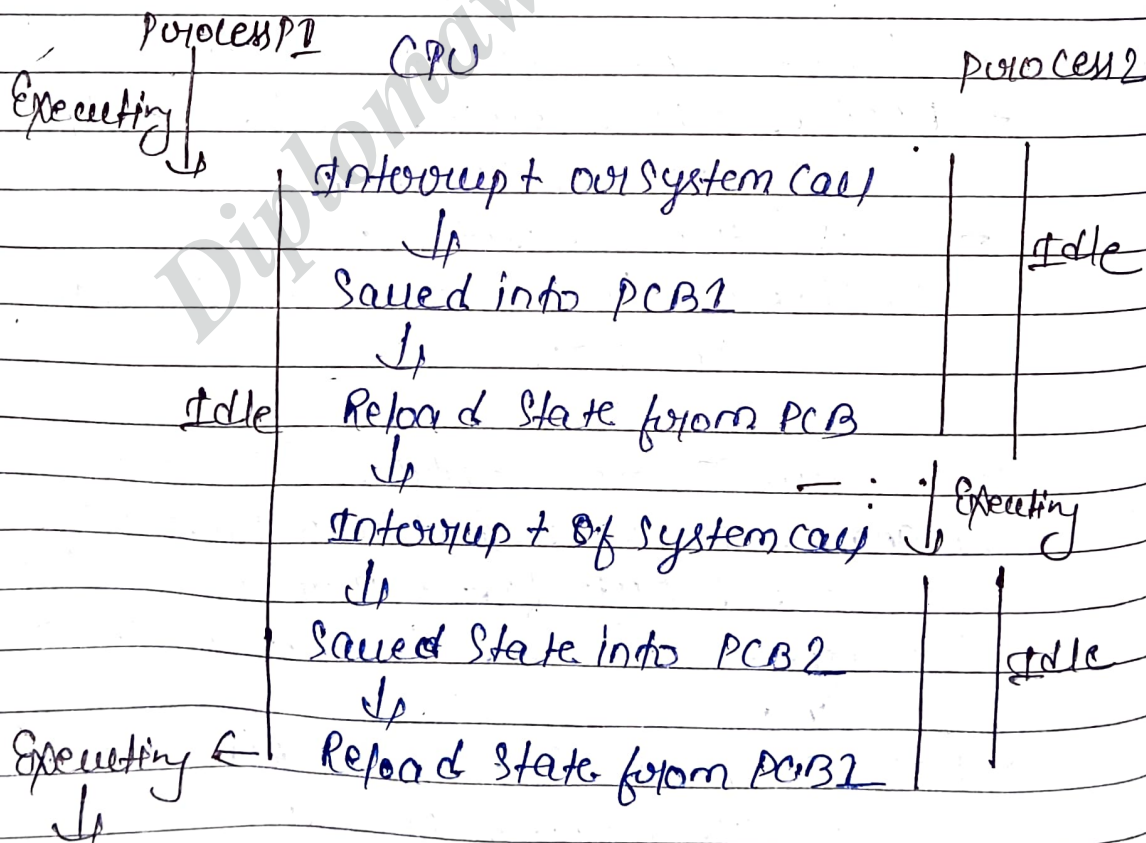
### \* Context Switch

• A context switch is the process where the CPU switches from executing one process to another.

• It involves saving the current state (context) of the running process and loading the saved state of the next process to run.

It includes:

- CPU registers (including program counter)
- process state
- memory management info (sometimes).
- All the data needed to resume the process later exactly where it left off.



## Types of Scheduling Algorithm.

1. FCFS (first come, first served)

For the following set of processes scheduling using FCFS policy determine the average waiting time.

Assume that the process arrived in the order P1, P2, P3, P4.

Process	Burst Time (ms)	WT	CT	TAT
P1	8	0	8	8
P2	15	8	23	23
P3	10	23	33	33
P4	7	33	40	40

Ans = 16

Step 1: Calculate waiting Time for each process

- Waiting Time (WT) of P1 = 0 (because start)
- WT of P2 = Burst time of P1 = 8
- WT of P3 = " " " P1 + Burst time of P2 = 8 + 15 = 23
- WT of P4 = Burst time of P1 + Burst time of P2 + Burst time of P3 = 8 + 15 + 10 = 33

Step 2: Average waiting Time

$$\frac{WT_{P1} + WT_{P2} + WT_{P3} + WT_{P4}}{4} = \frac{0 + 8 + 23 + 33}{4} = \frac{64}{4} = 16$$

### Completion Time Calculation

- CT of P1 = Arrival time + Burst time = 0 + 8 = 8
- CT of P2 = CT of P1 + Burst time of P2 = 8 + 15 = 23
- CT of P3 = CT of P2 + Burst time of P3 = 23 + 10 = 33
- CT of P4 = CT of P3 + Burst time of P4 = 33 + 7 = 40

### \* Turn Around time

Turnaround time = Completion Time - Arrival Time

Assuming all process arrive at time 0  
(Since arrival times were not given)

Using the completion time from before:

### Turnaround time

- P1 = 8 - 0 = 8
- P2 = 23 - 0 = 23
- P3 = 33 - 0 = 33
- P4 = 40 - 0 = 40

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unit - 04 end.